

Attorney's Docket No.: 12406-006003 / 1997P2507 US 07

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hohn et al.

Art Unit: 2826

Examiner: Minh Loan Tran

Title

Serial No.: 10/616,783

Filed : July 10, 2003

: WAVELENGTH-CONVERTING CASTING COMPOSITION AND LIGHT-

EMITTING SEMICONDUCTOR COMPONENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TERMINAL DISCLAIMER UNDER 37 CFR §§3.73(b) AND 1.321(b)

Pursuant to 37 CFR §3.73(b), OSRAM GMBH, certifies that it is the assignee of the entire right, title, and interest in the above application by virtue of: i) an Assignment from Inventors to SIEMENS AKTIENGESELLSCHAFT recorded April 4, 2000, at Reel/Frame 010723/0988; ii) an Assignment from SIEMENS AKTIENGESELLSCHAFT to OSRAM OPTO SEMICONDUCTORS, GMBH & CO. OHG recorded 12/7/2000 at Reel/Frame 011356/0397; iii) a conversion from OSRAM OPTO SEMICONDUCTORS GMBH & CO. OHG to OSRAM OPTO SEMICONDUCTORS GMBH confirmed March 12, 2002 (See Appendix 1, which includes a certified English translation); iv) confirmatory Assignment from OSRAM OPTO SEMICONDUCTORS GMBH to OSRAM GMBH (See Appendix 2).

OSRAM GMBH also certifies that it is the assignee of related U.S. Patent Nos. 6,066,861, 6,245,259, 6,592,780, and 6,613,247. The chain of title for these patents is the same as that above, except that the Assignment from SIEMENS AKTIENGESELLSCHAFT to OSRAM OPTO SEMICONDUCTORS, GMBH & CO. OHG for the application that issued as U.S. Patent Nos. 6,613,247 was recorded June 9, 2001 at Reel/Frame 011961/0157.

01/13/2005 PBRITTON 00000003 061050 10616783.

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicant: Hohn et al. Attorney's Docket No.: 12406-006003 / 1997P2507 US

Serial No.: 10/616,783 Filed: July 10, 2003

Page : 2 of 3

The undersigned has reviewed all the documents in the chain of title of the aboveidentified application and to the best of undersigned's knowledge and belief, title is in OSRAM GMBH.

The undersigned is empowered to act on behalf of the assignee.

Pursuant to 37 CFR §1.321(b), and to obviate a double patenting rejection, the assignee identified above hereby waives and disclaims the terminal portion of the term of the entire patent to be granted upon the above identified application subsequent to the expiration date of the first to expire of U.S. Patent Nos. 6,066,861, 6,245,259, 6,592,780, and 6,613,247, whereby the patent granted on this application and the first to expire of U.S. Patent Nos. 6,066,861, 6,245,259, 6,592,780, and 6,613,247 will expire on the same day, provided that any patent granted on the above identified application shall be enforceable only for and during such period that it is commonly owned with each of U.S. Patent Nos. 6,066,861, 6,245,259, 6,592,780, and 6,613,247.

The assignee identified above does not disclaim any terminal part of any patent granted on the above identified application prior to the expiration date of the full statutory term of any of U.S. Patent Nos. 6,066,861, 6,245,259, 6,592,780, and 6,613,247 in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), has all claims cancelled by a reexamination certificate, or is otherwise terminated prior to expiration of its statutory term, except for the separation of legal title as stated above. Assignee herein does not disclaim or otherwise affect any part of U.S. Patent Nos. 6,066,861, 6,245,259, 6,592,780, and 6,613,247.

This disclaimer runs with any patent granted on the above application and is binding upon the grantee, its successors or assigns.

Please charge any additional fees, or make any credits, to Deposit Account No. 06-1050, referencing Attorney Docket No. 12406-006003.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Applicant : Hohn et al. Serial No. : 10/616,783 Filed : July 10, 2003 Attorney's Docket No.: 12406-006003 / 1997P2507 US

07

Page

: 3 of 3

Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date: 12/22/04

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\*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. § 11.9(b).

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## PATENT APPLICATION FEE DETERMINATION RECORD Effective January 1, 2003 **CLAIMS AS FILED - PART I SMALL ENTITY OTHER THAN** (Column 1) SMALL ENTITY (Column 2) TYPE [ OR TOTAL CLAIMS FEE RATE FEE RATE BASIC FEE 375.00 BASIC FEE 750.00 NUMBER EXTRA FOR NUMBER FILED OR TOTAL CHARGEABLE CLAIMS W minus 20= X\$ 9= X\$18= OR 2 INDEPENDENT CLAIMS minus 3 = X42≈ X84= OR MULTIPLE DEPENDENT CLAIM PRESENT +280= +140= OR \* If the difference in column 1 is less than zero, enter "0" in column 2 OR TOTAL TOTAL AIMS AS AMENDED - PART II OTHER THAN SMALL ENTITY SMALL ENTITY OR (Column 2) (Column 3) (Column 1) CLAIMS HIGHEST ADDI-ADDI-REMAINING NUMBER PRESENT RATE TIONAL RATE TIONAL **PREVIOUSLY** AFTER **EXTRA** FEE FEE **AMENDMENT** PAID FOR Total Minus \*\* 20 X\$ 9= X\$<del>18</del>≡ 200 OR Minus Independent 2 X42= X84= OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM +140= +280= OR 34 TOTAL TOTAL -14 200 OR ADDIT. FEE ADDIT. FEE 20 (Column 2) (Column 3) (Column 1) HIGHEST **CLAIMS** ADDI-ADDIœ REMAINING NUMBER PRESENT RATE TIONAL RATE TIONAL **AFTER PREVIOUSLY EXTRA** AMENDMENT FEE **AMENDMENT** PAID FOR FEE Total Minus X\$18= X\$ 9= OR Minus Independent X42≈ X84= OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM +140= +280= OR TOTAL OR ADDIT. FEE ADDIT. FEE (Column 2) (Column 3) (Column 1) CLAIMS HIGHEST ADDI-ADDI-NUMBER ပ PRESENT REMAINING TIONAL TIONAL RATE ENT RATE **AFTER PREVIOUSLY EXTRA** AMENDMENT PAID FOR FEE FEE Total Minus X\$18= X\$ 9= OR W Minus Indépendent X42= X84= OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM +280= +140= OR If the entry in column 1 is less than the entry in column 2, write "0" in column 3. TOTAL TOTAL OR \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20." ADDIT. FEE \*\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3." The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Application or Docket Number